



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/706,216	11/12/2003	Fei Luo	BEAS-1339US3	6317

23910 7590 02/06/2007  
FLIESLER MEYER LLP  
650 CALIFORNIA STREET  
14TH FLOOR  
SAN FRANCISCO, CA 94108

EXAMINER
----------

ZHEN, LI B

ART UNIT	PAPER NUMBER
----------	--------------

2194

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/06/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	Application No. 10/706,216	Applicant(s) LUO ET AL.	
	Examiner Li B. Zhen	Art Unit 2194	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 20 November 2006.
- 2a) ☐ This action is **FINAL**.      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 4-6,8 and 10-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 4-6,8 and 10-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>11/20/2006</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. Claims 4 – 6, 8 and 10 – 17 are pending in the application.

#### ***Response to Amendment***

2. The amendments to claims 3, 8 and 9 overcome the objections to claims 3, 8 and 9 cited in the previous office action.

#### ***Response to Arguments***

3. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

#### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

Art Unit: 2194

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

**6. Claims 4 – 6, 8 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,993,774 to Glass [cited in the previous office action] in view of U.S. Patent No. 7,051,324 to Gissel et al. [hereinafter Gissel].**

7. As to claim 17, Glass teaches the invention substantially as claimed including a method for dynamically generating a wrapper object [dynamic generation of remote proxies; col. 6, lines 40 – 55], comprising:

receiving a resource adapter class [reads the associated class 252 from a class repository, col. 18, lines 56 – 63, see Fig. 11, element 252 can be either class or object; Glass also discloses locating the subject object, step 26, Fig. 2, col. 7, lines 19 - 35; Examiner notes that the specification does not specifically define a vendor object, therefore a vendor object is given its plain meaning and is interpreted as object that provides services to other applications. The subject object as disclosed in Glass exists on a server system and provides services to clients, see col. 8, lines 1 – 12. Therefore, the subject object as disclosed in Glass corresponds to the recited vendor object.] at an application server [server systems 12; col. 4, line 62 – col. 5, line 8];

performing reflection on the resource adapter class [invokes reflection engine 36 to determine information regarding subject class 19; col. 8, lines 1 – 12] to identify interfaces implemented by the resource adapter class [proxy object 22 which contains the interfaces; col. 6, lines 40 – 55];

Art Unit: 2194

dynamically generating a wrapper class at runtime [generate the byte codes that define the class of subject object 18, col. 6, line 55 – col. 7, line 6; remote proxy for the subject object will inherit all of the variables and methods of its ancestors; col. 7, lines 58 – 67];

instantiating a wrapper object from the wrapper class [class loader 46 takes the generated bytes of remote proxy class 23 stored in memory and loads them into a class structure which then can be instantiated to create remote proxy object 22; col. 10, lines 1 – 10]; and

providing the wrapper object [generated interface is associated with subject class 19; col. 8, lines 40 – 48] to an application that requires support for the interfaces implemented by the resource adapter class [col. 6, lines 40 – 55]. Although Glass teaches the wrapper class implements the interfaces identified through reflection [invokes reflection engine 36 to determine information regarding subject class 19; col. 8, lines 1 – 12], Glass does not specifically disclose dynamically generating a wrapper class that extends from a superclass, wherein the superclass implements Java Database Connectivity, Java Messaging Service, or Java Connector Architecture interfaces.

However, Gissel teaches dynamically generating a wrapper class [col. 4, lines 25 – 45] that extends from a superclass [administration client (32) is also provided which provides interfaces to the external Classloader Tooling vendor's code; col. 8, lines 1 – 11], wherein the superclass implements Java Database Connectivity, Java Messaging

Art Unit: 2194

Service [JMX; col. 8, lines 10 – 19], or Java Connector Architecture interfaces [Remote Machine Interface ("RMI") connector (34); col. 8, lines 1 – 11].

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the invention of Glass to incorporate the features of dynamically generating a wrapper class that extends from a superclass, wherein the superclass implements Java Database Connectivity, Java Messaging Service, or Java Connector Architecture interfaces because this allows software developers to easily integrate their new designs with existing network management solutions [col. 2, lines 35 – 46 of Gissel] and allows the application modules to load tool classes in a standard, which makes the system more open to tool vendors and less dependent on proprietary methods and interfaces [col. 6, line 57 – col. 7, line 2 of Gissel].

8. As to claim 4, Glass teaches the superclass includes logic to handle server side tasks [forwards the message to the appropriate EJB function object 206 for preliminary processing; col. 15, lines 38 – 56].

9. As to claim 5, Glass teaches the wrapper class is generated in bytecode [byte codes representing remote proxy class 23 are generated; col. 7, lines 20 – 35].

10. As to claim 6, Glass teaches bytecode is generated for vendor methods [byte codes representing remote proxy class 23 are generated; col. 7, lines 20 – 35] not implemented in the superclass [superclass remote proxies; col. 7, lines 56 – 67;

Art Unit: 2194

examiner notes that the superclass remote proxies include all of the variables and methods of the subject class' ancestors, therefore, the subject class would include methods that are not implemented in the superclass].

11. As to claim 8, Glass as modified teaches providing the wrapper object [dynamic generation of remote proxies; col. 6, lines 40 – 55 of Glass] to an application [loads remote proxy class 23 onto client system 14; col. 11, lines 4 – 13 of Glass], allows the application to access [Communications between client application 108 and server object 110 proceed by client application 108 communicating with remote proxy 154 through its interface IProxy 152; col. 13, lines 25 – 40 of Glass] standard features [remote proxy 154 is generated from a standard base proxy class...allow remote proxy 154 to inherit methods and functionality from server object 110; col. 12, lines 55 – col. 13, line 18 of Glass] and non-standard vendor extensions [provides interfaces to the external Classloader Tooling vendor's code (31); col. 8, lines 1 – 11 of Gissel].

**12. Claims 10-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Glass in view of U.S. Patent Application Publication No. 2004/0143835 to Dattke et al. [hereinafter Dattke], both references cited in the previous office action.**

13. As to claim 10, Glass teaches the invention substantially as claimed including a method for processing an invocation [col. 11, line 60 – col. 12, line 5] using a

Art Unit: 2194

dynamically generated wrapper [dynamic generation of remote proxies; col. 6, lines 40 – 55], comprising:

receiving an invocation by a wrapper object [In order to isolate the distributed processing communication requirements from local object 20, a remote proxy object 22 may be created on server system 12 and loaded onto client system 14; col. 5, line 52 – col. 6, line 7], the invocation directed to a wrapped resource adapter [proxy object 22 which contains the interfaces; col. 6, lines 40 – 55] by an application [Local object 20 may request access to subject object 18; col. 5, line 52 – col. 6, line 7];

initiating pre-processing by the wrapper object [Type object 204 forwards the message to the appropriate EJB function object 206 for preliminary processing; col. 15, lines 38 – 56; col. 13, line 57 – col. 14, line 15];

calling the wrapped resource adapter [proxy object 22 which contains the interfaces; col. 6, lines 40 – 55] by the wrapper object [Local object 20 communicates with remote proxy object 22 which then communicates with subject object 18; col. 5, line 52 – col. 6, line 7];

receiving a result from the wrapped resource adapter [passes a result through server-side ORB 114 across network; col. 13, lines 40 – 58] by the wrapper object [Reference object 158 decodes the result and passes it to remote proxy 154; col. 13, lines 40 – 58];

initiating post-processing [Set of streamers 180 handles the encoding and transmission...results; col. 14, lines 13 – 31 and col. 15, lines 56 – 67; Client-side ORB



112 locates the appropriate reference object 158 utilizing communication protocol information received with the result message, col. 15, lines 1- 16]; and

provide the result to the application program [Remote proxy 154 then makes the result available to client application 108; col. 13, lines 40 – 58]. Although Glass teaches the invention substantially, Glass does not specifically disclose initiating post-processing by the wrapper object.

However, Dattke teaches a wrapper object initiating post-processing [pp. 3-4, paragraph 0036].

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the invention to incorporate the features of a wrapper object initiating post-processing because Dattke's teachings provides a dedicated handler for receiving results from extension methods [p. 2, paragraph 0022 of Dattke] and allows the results from the extension methods to be combined and returned to the standard application [p. 3, paragraph 0034 of Dattke].

14. As to claim 11, Glass teaches the pre-processing including calling a pre-invocation handler [EJB function object 206 for preliminary processing; col. 15, lines 38 – 56].

15. As to claim 12, Glass teaches the pre-invocation handler is configured to execute server-side code [Type object 204 forwards the message to the appropriate EJB function object 206 for preliminary processing; col. 15, lines 38 – 56].

16. As to claim 13, Glass teaches the server-side code includes transaction processing code [Preliminary common processing may include...transaction management; col. 15, lines 38 – 57].

17. As to claim 14, Glass teaches post-processing including calling a post-invocation handler [Set of streamers 180 handles the encoding and transmission; col. 14, lines 13 – 31 and col. 15, lines 56 – 67; col. 15, lines 1- 16].

18. As to claim 15, Glass teaches the post-invocation handler is configured to perform post-processing server side tasks [Set of streamers 180 handles the encoding and transmission...results; col. 14, lines 13 – 31 and col. 15, lines 56 – 67; Client-side ORB 112 locates the appropriate reference object 158 utilizing communication protocol information received with the result message, col. 15, lines 1- 16].

19. As to claim 16, Glass teaches the post-processing server-side tasks include transaction management [generated class functionality may include...transaction management; col. 15, lines 1 – 16].

### ***Conclusion***

20. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Art Unit: 2194

U.S. Patent Application Publication No. 2004/0028031 discloses a method for implementing standard applications on an intelligent network service control point.

### CONTACT INFORMATION


21. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Li B. Zhen whose telephone number is (571) 272-3768. The examiner can normally be reached on Mon - Fri, 8:30am - 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Thomson can be reached on 571-272-3718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Li B. Zhen  
Examiner  
Art Unit 2194

LBZ

  
2/2/2007